# IAP7 Rec'd PCT/PTO 1 7 APR 2006

T	RAN			LETTER TO THE UNIT OFFICE (D CERNING A SUBMISS	CUSTOMER NO. 22,852 ATTORNEY'S DOCKET NUMBER: 03715.0153  U.S. APPLIÇATION NO. ,if known see 37 CFR 1.5(									
			_		111/4/4/11									
INT	ERNA	ATION	AL A	APPLICATION NO.	INTERNATIONAL FILING DATE	PRIORITY DATE CLÂIMED								
PCT	r/FR2	2004/0	0221	18	September 1, 2004	September 2, 2003								
9-A	TITLE OF INVENTION 9-AMINO-PODOPHYLLOTOXIN DERIVATIVES, METHOD FOR THE PRODUCTION THEREOF AND PHARMACEUTICAL COMPOSITIONS CONTAINING SAID DERIVATIVES													
Clau	ide M	ONNE	RET			US-BERTHIER, Bruno PFEIFFER, Pierre RENARD								
Appl	Applicant(s) herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:													
1.		This is a FIRST submission of items concerning a filing under 35 U.S.C 371.												
2.		This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.												
3. `		This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.												
4.		The US has been elected (Article 31).												
5.	⊠	A copy of the International Application as filed (35 U.S.C. 371 (c)(2)).												
		a.   is attached hereto (required only if not communicated by the International Bureau).												
i		b.  has been communicated by the International Bureau.												
		c.  is not required, as the application was filed with the United States Receiving Office (RO/US).												
6.	⊠	An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).												
		a. 🗵 is attached hereto.												
		b.												
7.	⊠	Amen	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)).											
		b.  have been communicated by the International Bureau.												
		٠.												
		٠.												
8.					amendments to the claims under PCT Article 19	(35 U.S.C. 371 (c)(3)).								
9.	⊠		-			( Constant Constant								
10.		An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).  An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).												
Item	s 11 t	to 20 be	elow	concern document(s) or i	information included:									
11.		Inform	atior	n Disclosure Statement und	er 37 CFR 1.97 and 1.98									
12.	$\boxtimes$	An as	signr	nent document for recording	g. A separate cover sheet in compliance with 37	CFR 3.28 and 3.31 is included.								
13.	$\boxtimes$	A prel	imina	ary amendment.										
14.		An Application Data Sheet under 37 CFR 1.76.												
15.		A Substitute specification.												
16.		A power of attorney and/or change of address letter.												
17.		A computer-readable form of the sequence listing in accordance with PCT Rule 13fer.2 and 35 U.S.C. 1.821-1.825.												
18.		A second copy of the published International Application under 35 U.S.C. 154 (d)(4).												
19.		A second copy of the English language translation of the international application 35 U.S.C. 154 (d)(4).												
20.	⊠	Other items or information:												
		<ul> <li>a.</li></ul>												
			<b>⊠</b>		Application for Patent and petition fee of \$1500.00	0								

U.S. APPLICATION NO. (if kno		ATTORNEY'S DOCKET NUMBER 03715.0153										
The following fees	The following fees have been submitted:											
21. 🛛 BASIC NATIONAL	BASIC NATIONAL FEE (37 CFR 1.492(a)):\$300.00											
22. 🛭 Examination fee (37												
If the Written opinion prepared by IPEA/US indicates all All other situations		\$200.00										
23. Search fee (37 CFR If the written opinion of the ISA/ IPEA/US indicates all classearch fee (37 CFR 1.445(a)(2 International Searching A International Search Report pre previously communicated All other situations	\$400.00											
		\$900.00										
Additional fee for specifical listing in compliant electronic medium. The fee is \$250 for												
Total Sheets	Extra Sheets	Number of each additional thereof (round up to a who	each additional 50 or fraction Rate									
45- 100 =	/50=	, , , , , , , , , , , , , , , , , , , ,		\$								
Surcharge of \$130.00 for furnis commencement of the national	ate of	\$130.00										
CLAIMS	NUMBER FILED	NUMBER FILED NUMBER EXTRA RATE										
Total Claims	12 - 20 =	12 - 20 = x \$50.00			\$							
Independent Claims	00	\$										
MULTIPLE DEPENDENT CLAI	\$											
(4)	\$1030.00											
☐ Applicant claims small entity	\$											
	\$1030.00											
Processing fee of \$130.00 for for 1.492(i)).	umishing the English translatio	n later than 30 months from th	e earliest priority dat	e (37 CFR +	\$130.00							
			TOTAL NATI	ONAL FEE =	\$1160.00							
Fee for recording the enclosed cover sheet (37 CFR 3.28, 3.31	assignment (37 CFR 1.21 (h)). ). <b>\$40.00</b> per property.	The assignment must be acc	companied by an app	ropriate +	\$40.00							
***	NCLOSED =	\$1200.00										
					Amount to be refunded:	\$						
					charged:	\$						
a. 🖾 A check in the amoun	t of \$1200.00	to cover t	he above fees is end	losed.								
b. Please charge my De A duplicate copy of th		in the amount of \$		to cover t	he above fees.							
d.  Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.												
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.												
SEND ALL CORRESPONDENCE TO:												
Finnegan, Henderson, Farabow 901 New York Avenue, N.W. Washington, DC 20001-4413	961											
DATED: April 17, 2006	3											

### **VERIFICATION OF TRANSLATION**

I, Harold William VADNEY III, the below-named translator, hereby declare that:

My name and post office address are as stated below;

That I am knowledgeable in the English language and in that language in which the below identified Patent Assignment was filed, and that I believe the English translation of Application No. WO 2005/023817

is a true and complete translation of the above-identified Patent Assignment as filed.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true and further that these statements were made with the knowledge that wilful false statements and the like so made are punishable by fine or imprisonment or both.

Date: 23 March 2006

Full name of Translator: Harold William VADNEY III

Address:

P.O. Box 407 -18 New Street

New Baltimore, New York 12 124-0407

United States of America

Signature of Translator:

Harold William Vadney III

## IAP20 Res'67 JULTO 17 APR 2006

### **VERIFICATION OF TRANSLATION**

- I, Harold William VADNEY III
- of P.O. Box 407 -- 18 New Street New Baltimore, New York 12124-0407 -United States of America

#### declare as follows

- 1. That I am well acquainted with both the English and French languages, and
- 2. That the attached document is a true and correct translation made by me to the best of my knowledge and belief, of

the patent application entitled:

9-AMINO-PODOPHYLLOTOXIN DERIVATIVES, METHOD FOR THE PREPARATION THEREOF AND PHARMACEUTICAL COMPOSITIONS CONTAINING SAID DERIVATIVES

Date of publication: 17.03.2005 Publication N° WO 2005/023817

Date: 23 March 2006

Signature of Translator

Harold William Vadney III